

PARSING

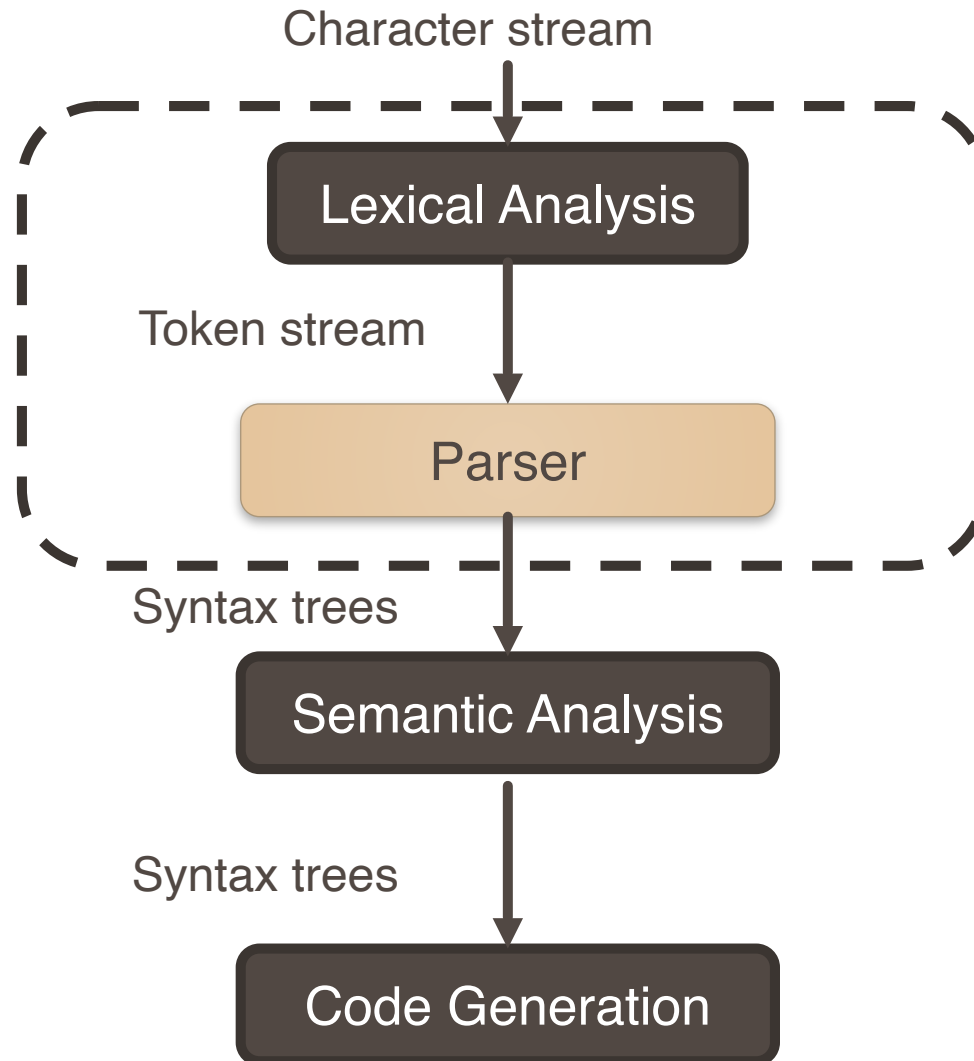
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These slides are motivated from Prof. Alex Aiken: Compilers (Stanford)



-
- $\langle \text{id}, x \rangle \langle \text{op}, * \rangle \langle \text{op}, \% \rangle$
 - Is it a valid token stream in C language?
 - Is it a valid statement in C language?

Intro to Parsing



- Not every strings of tokens are valid
- Parser must distinguish between valid and invalid token strings.
- We need
 - A Language: to describe what is valid string?
 - A method: to determine membership of inputs in this language.

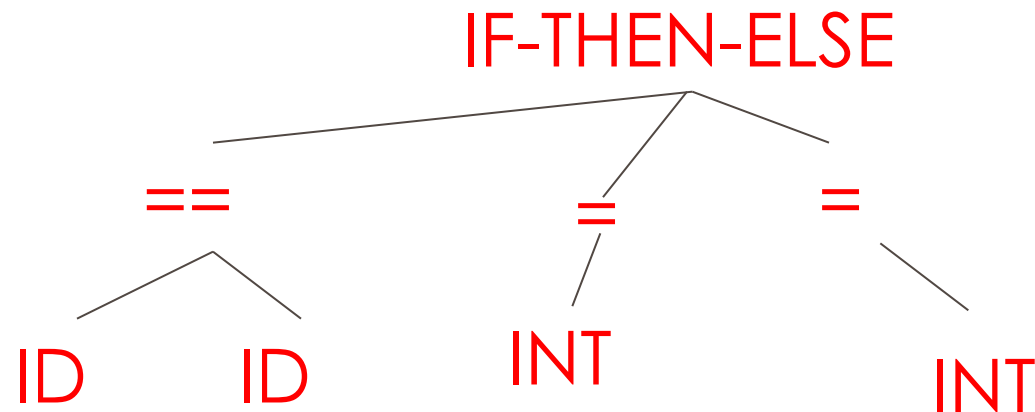
Intro to Parsing

- Input: if(x==y) 1 else 2;

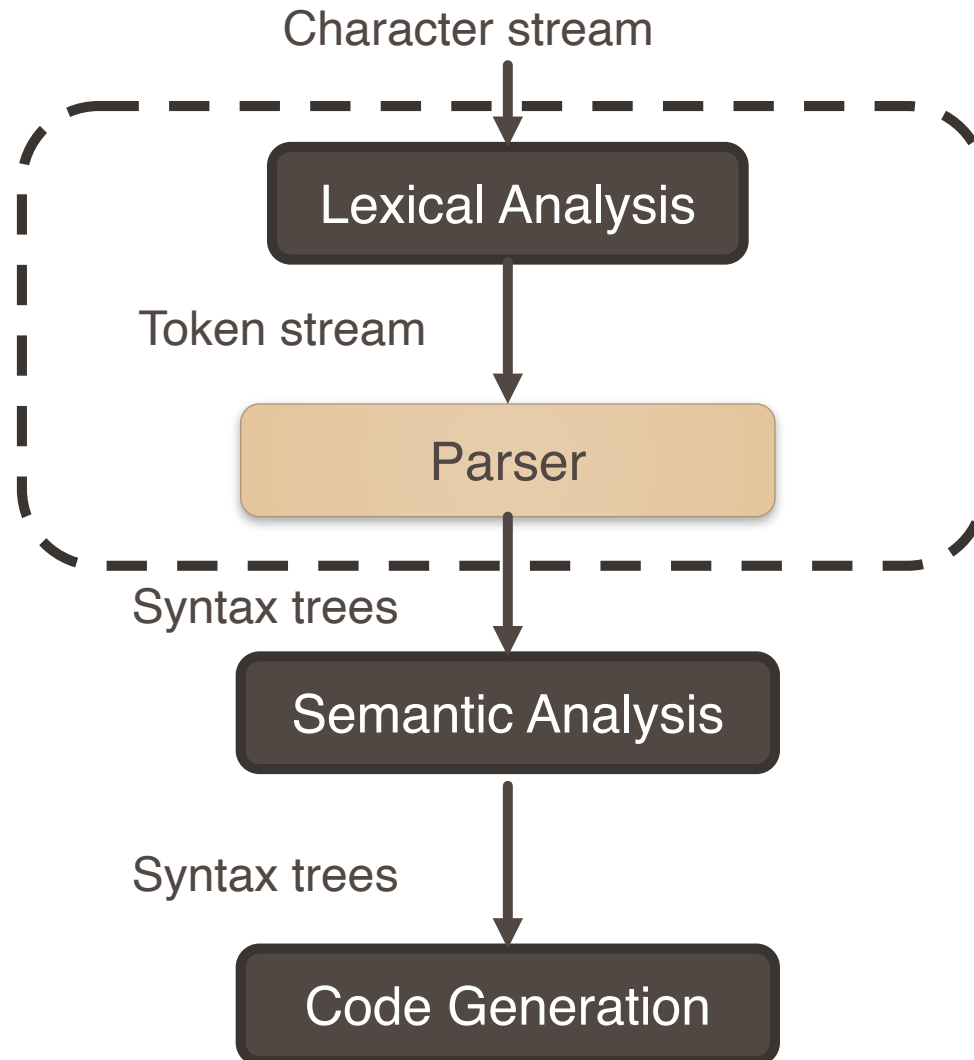
- Parser Input (Lexical Input):

KEY(IF) '(' ID(x) OP('==') ')' INT(1) KEY(ELSE) INT(2) ';

- Parser Output



Intro to Parsing



- Not every strings of tokens are valid
- Parser must distinguish between valid and invalid token strings.
- We need
 - A Language: to describe what is valid string?
 - Context Free Grammar
 - Capture Language Syntax
 - A method: to determine membership of inputs in this language.

Context Free Grammar

- A CFG consists of
 - A set of terminal T
 - A set of non-terminal N
 - A start symbol S ($S \in N$)
 - A set of production rules
 - $X \rightarrow Y_1 \dots Y_N$
 - $X \in N$
 - $Y_i \in \{N, T, \varepsilon\}$
- Ex: $S \rightarrow (S) \mid \varepsilon$
 - $N = \{S\}$
 - $T = \{ (,) , \varepsilon \}$

Context Free Grammar

1. Begin with a string with only the start symbol S
2. Replace a non-terminal X with in the string by the RHS of some production rule:

$$X \rightarrow Y_1 \dots Y_n$$

3. Repeat 2 again and again until there are no non-terminals

$$X_1 \dots X_i \underline{X} X_{i+1} \dots X_n \rightarrow X_1 \dots X_i Y_1 \dots Y_k X_{i+1} \dots X_n$$

For the production rule $X \rightarrow Y_1 \dots Y_k$

$$\alpha_0 \rightarrow \alpha_1 \rightarrow \alpha_2 \rightarrow \alpha_3 \dots \rightarrow \alpha_n$$

$$\alpha_0 \xrightarrow{*} \alpha_n, n \geq 0$$

Context Free Grammar

- Let G be a CFG with start symbol S . Then the language $L(G)$ of G is:

$$\{a_1 \dots a_i \dots a_n \mid \forall i a_i \in T \wedge S \xrightarrow{*} a_1 \dots a_i \dots a_n\}$$

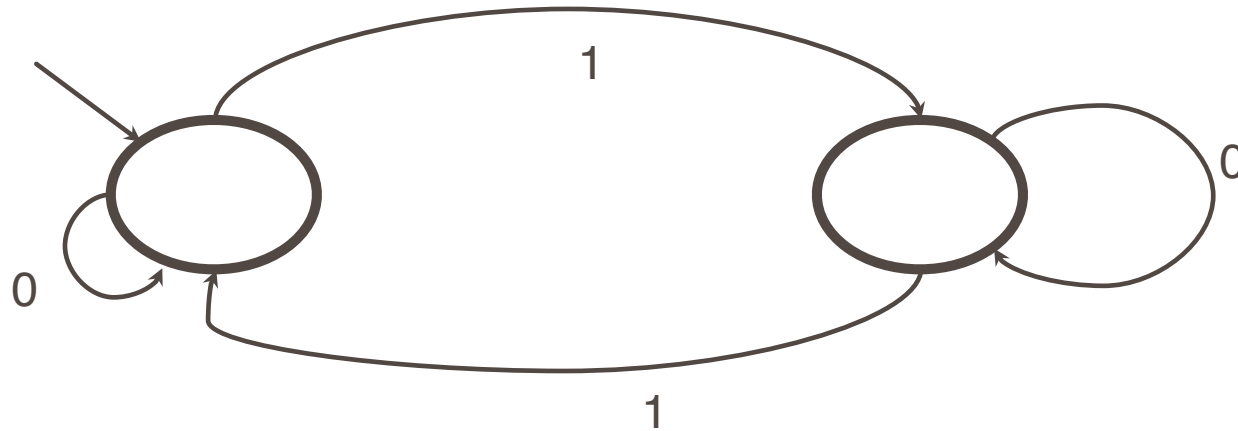
Context Free Grammar

- There are **no rules** to replace terminals.
- Once generated, **terminals are permanent**
- Terminals ought to be tokens of programming languages
- Context-free grammars are a natural notation for this recursive structure

Languages and Automata

- Formal languages are very important in programming languages
- Regular Languages
 - Weakest formal languages that are widely used
 - Many applications
- Many Languages are not regular

Automata that accept odd numbers of 1



How many 1s it has accepted?

- Only solution is duplicate state

Automata do not have any memory

Intro to Parsing

- Regular Languages
 - Weakest formal languages that are widely used
 - Many applications
- Consider the language $\{(i)^i \mid i \geq 0\}$
 - $()$, $(())$, $((()))$
 - $((1 + 2) * 3)$
- Nesting structures
 - if .. if.. else.. else..



Regular languages
cannot handle well

CFG: Simple Arithmetic expression

$E \rightarrow E + E$

| $E * E$

| (E)

| id

Languages can be generated: id, (id), (id + id) * id, ...

CFG: Exercise

$$S \rightarrow aXa$$

$$X \rightarrow \varepsilon \mid bY$$

$$Y \rightarrow \varepsilon \mid cXc$$

Some Valid Strings are: aba, abcca, ...

Derivation

- A derivation is a sequence of production
 - $S \rightarrow \dots \rightarrow \dots \rightarrow$
- A derivation can be drawn as a tree
 - Start symbol is tree's root
 - For a production $X \rightarrow Y_1 \dots Y_n$, add children $Y_1 \dots Y_n$ to node X

- Grammar

- $E \rightarrow E + E \mid E * E \mid (E) \mid id$

- String

- $id * id + id$

- Derivation

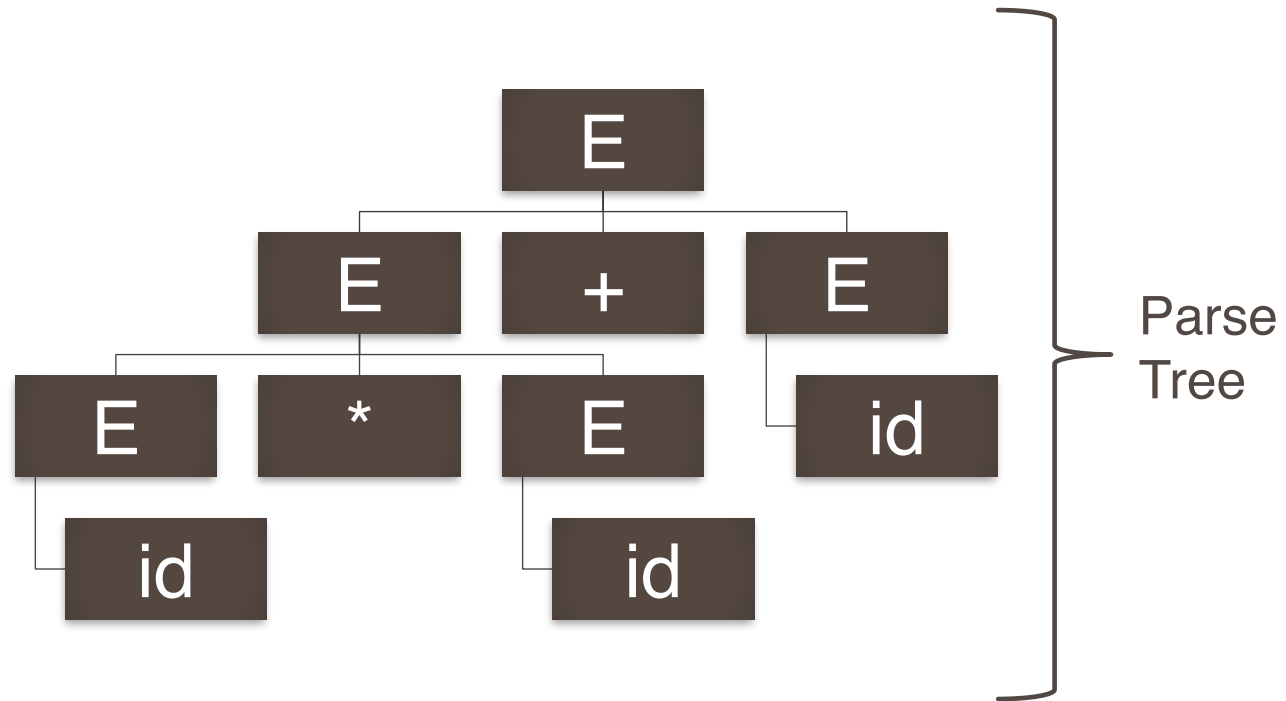
$E \rightarrow E + E$

$\rightarrow E * E + E$

$\rightarrow id * E + E$

$\rightarrow id * id + E$

$\rightarrow id * id + id$



Parse Tree

- A parse tree has
 - Terminals at the leaves
 - Non-terminals at the interior nodes
- An in-order traversal of the leaves is the original input
- The parse tree shows the association of operations, the input string does not

Parse Tree

- Left-most derivation
 - At each step, replace the left-most non-terminal

$E \rightarrow E + E$

$\rightarrow E * E + E$

$\rightarrow id * E + E$

$\rightarrow id * id + E$

$\rightarrow id * id + id$

- Right-most derivation
 - At each step, replace the right-most non-terminal

$E \rightarrow E + E$

$\rightarrow E + id$

$\rightarrow E * E + id$

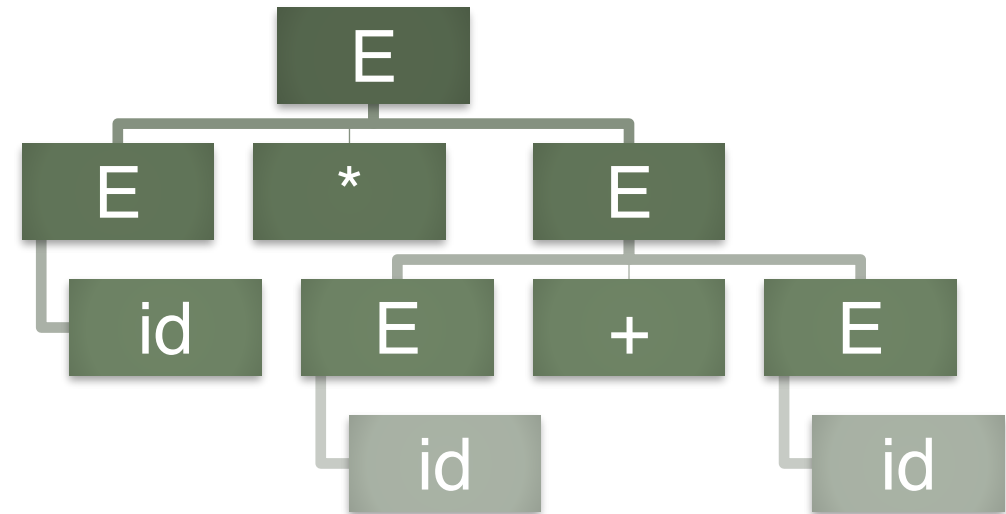
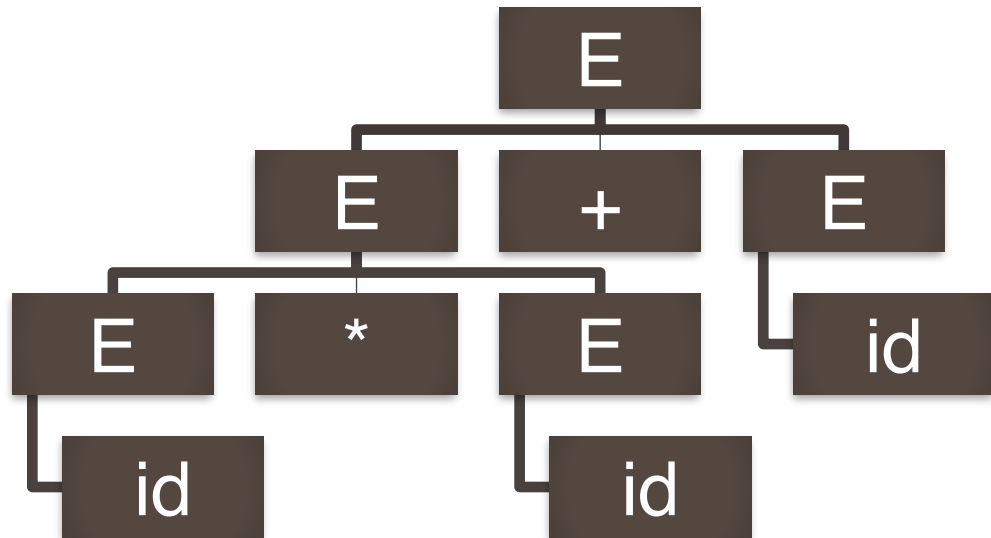
$\rightarrow E * id + id$

$\rightarrow id * id + id$

Note that, right-most and left-most derivations have the same parse tree

Ambiguity

- Grammar
 - $E \rightarrow E + E \mid E * E \mid (E) \mid id$
- String
 - $id * id + id$



Ambiguity

- A grammar is ambiguous if it has more than one parse tree for a string
 - There are more than one right-most or left-most derivation for some string
- Ambiguity is **bad**
 - Leaves meaning for some programs ill-defined

Example of Ambiguous Grammar

- $S \rightarrow SS \mid p \mid q$

Resolving Ambiguity

- Most direct way to rewrite the grammar unambiguously

$id * id + id$

$$E = E' + E \mid E'$$

$$E' = id * E' \mid id \mid (E) * E' \mid (E)$$

Resolving Ambiguity

- Impossible to convert ambiguous to unambiguous grammar automatically
- Instead of rewriting
 - Use ambiguous grammar
 - Along with disambiguating rules
 - Eg, precedence and associativity rules
 - Enforces precedence of * over +
 - associativity: %left +

Abstract Syntax Trees

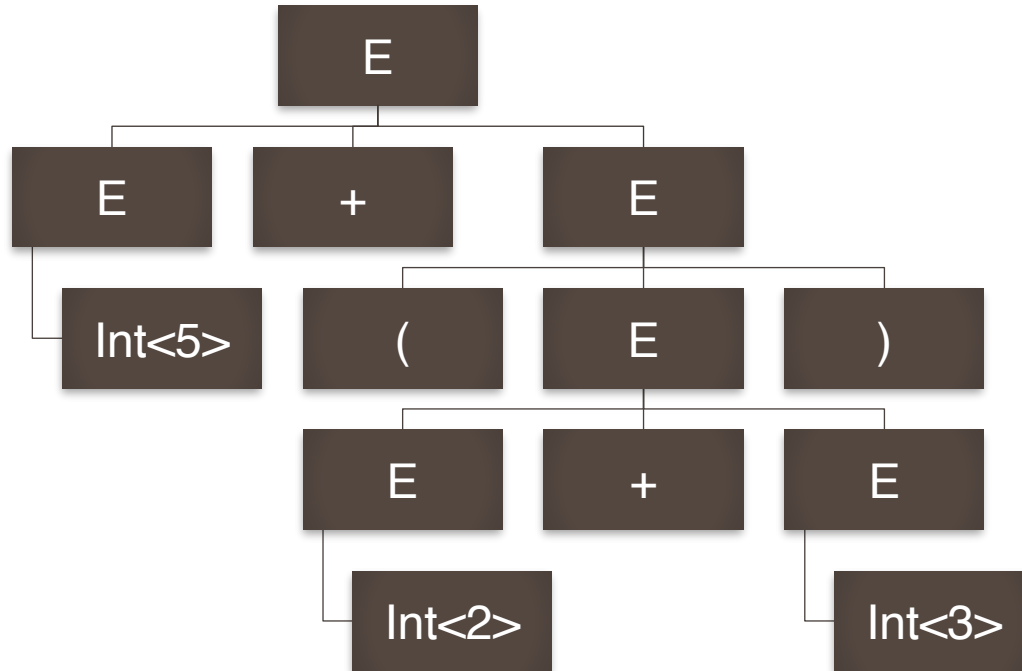
- A parser traces the derivation of a sequence of tokens
- But the rest of the compiler needs a structural representation of the program
- Abstract Syntax Trees
 - Like parse trees but ignore some details
 - Abbreviated as AST

Abstract Syntax Trees

- Grammar
 - $E \rightarrow \text{int} \mid (E) \mid E + E$
- String
 - $5 + (2 + 3)$
- After lexical analysis
 - $\text{Int}\langle 5 \rangle \text{'+' ' (' Int}\langle 2 \rangle \text{'+' Int}\langle 3 \rangle \text{')'}$

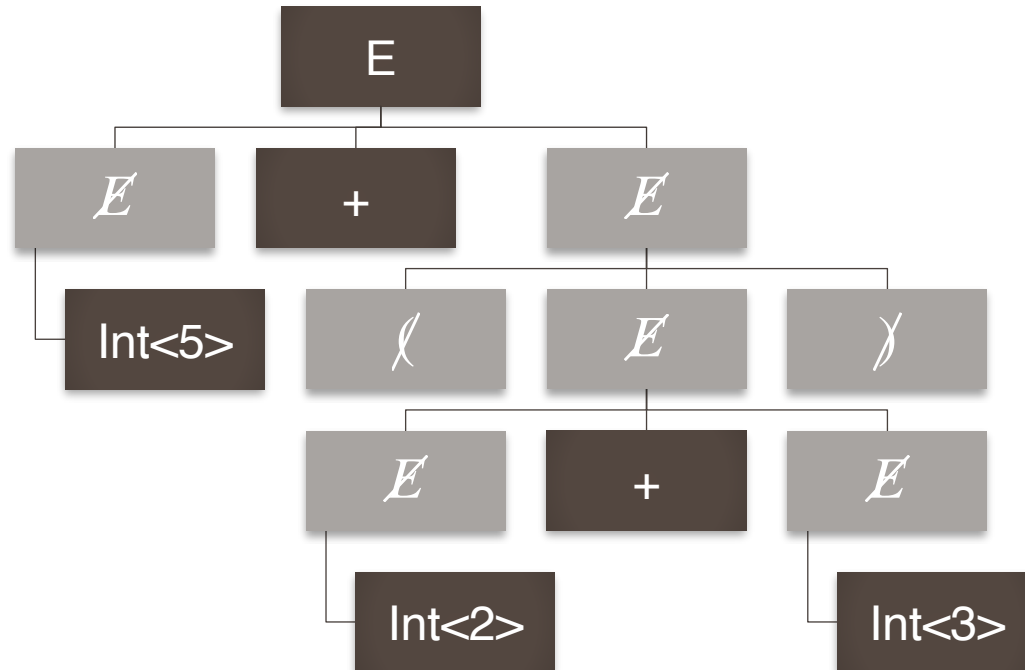
Abstract Syntax Trees: $5 + (2 + 3)$

Parse Trees



Abstract Syntax Trees: $5 + (2 + 3)$

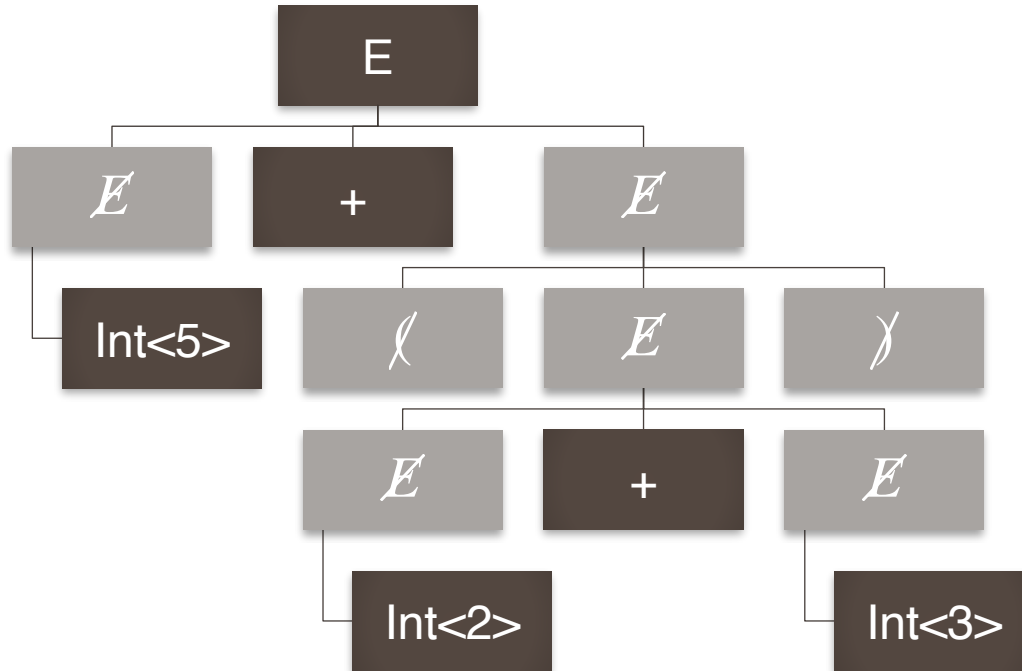
Parse Trees



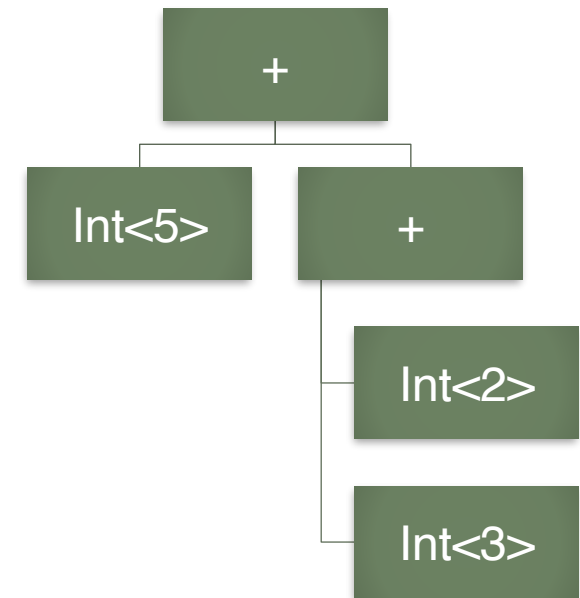
- Have too much information
 - Parentheses
 - Single-successor nodes

Abstract Syntax Trees: $5 + (2 + 3)$

Parse Trees



AST



- Have too much information
 - Parentheses
 - Single-successor nodes

- ASTs capture the nesting structure
- But abstracts from the concrete syntax
 - More compact and easier to use

Error Handling

- Purpose of the compiler is
 - To detect non-valid programs
 - To translate the valid ones
- Many kinds of possible errors (e.g., in C)

Error Kind		Example	Detected by
Lexical	Misspelling of identifiers, keywords, or operators.	... \$...	Lexer
Syntax	Misplaced operators, semicolons, braces, switch-case statements, etc.	... x*%...	Parser
Semantic	Type mismatches between operators and operands	... int x; y = x(3);...	Type Checker
Correctness	Incorrect reasoning	Using = instead of ==	tester/user

Error Handling

- Error Handler should
 - Discover errors accurately and quickly
 - Recover from an error quickly
 - Not slow down compilation of valid code

- Types of Error Handling
 - Panic mode
 - Error productions
 - Automatic local or global correction

Panic Mode Error Handling

- Panic mode is simplest and most popular method
- When an error is detected
 - Discard tokens until one with a clear role is found
 - Typically looks for “synchronizing” tokens
 - Typically the statement of expression terminators
 - Example: delimiters (; }, etc.)
 - Continue from there

Panic Mode Error Handling

- Example:
 - $(1 + + 2) + 3$
- Panic-mode recovery:
 - Skip ahead to the next integer and then continue
- Bison: use the special terminal **error** to describe how much input to skip
 - $E \rightarrow \text{int} \mid E + E \mid (E) \mid \text{error int} \mid (\text{error})$



Error Productions

- Specify known common mistakes in the grammar
- Example:
 - Write $5x$ instead of $5 * x$
 - Add production rule $E \rightarrow .. \mid E E$
- Disadvantages
 - complicates the grammar

Error Corrections

- Idea: find a correct “nearby” program
 - Try token insertions and deletions (goal: minimize edit distance)
 - Exhaustive search
- Disadvantages
 - Hard to implement
 - Slows down parsing of correct programs
 - “Nearby” is not necessarily “the intended” program

Error Corrections

- Past

- Slow recompilation cycle (even once a day)
- Find as many errors in one cycle as possible

- Disadvantages

- Quick recompilation cycle
- Users tend to correct one error/cycle
- Complex error recovery is less compelling

Parsing algorithm: Recursive Descent Parsing

- The parse tree is constructed
 - From the top
 - From left to right

- Terminals are seen in order of appearance in the token stream

Parsing algorithm: Recursive Descent Parsing

- Grammar:
 - $E \rightarrow T \mid T + E$
 - $T \rightarrow \text{int} \mid \text{int} * T \mid (E)$
- Token Stream: (int<5>)
- Start with top level non-terminal E
 - Try the rules for E in order

Recursive Descent Parsing Example

$E \rightarrow T \mid T + E$

$T \rightarrow \text{int} \mid \text{int} * T \mid (E)$

E
|
T
|
int

mismatch: int does not match arrowhead (
backtrack

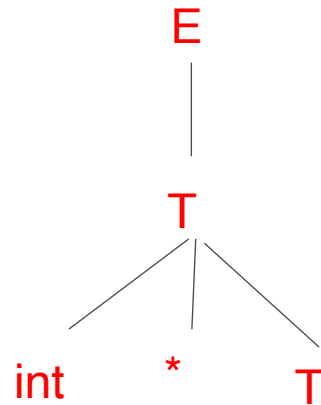
(int<5>)



Recursive Descent Parsing Example

$E \rightarrow T \mid T + E$

$T \rightarrow \text{int} \mid \text{int} * T \mid (E)$



backtrack

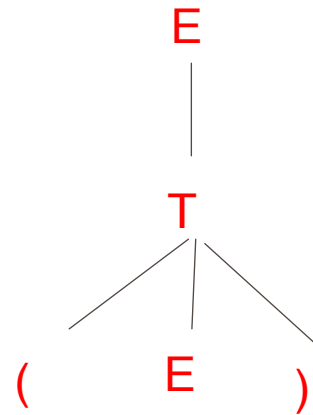
(int<5>)



Recursive Descent Parsing Example

$E \rightarrow T \mid T + E$

$T \rightarrow \text{int} \mid \text{int} * T \mid (E)$



Match! Advance input

(int<5>)

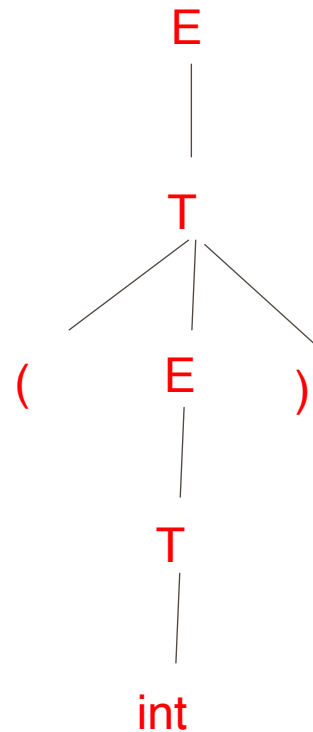


Recursive Descent Parsing Example

$E \rightarrow T \mid T + E$

$T \rightarrow \text{int} \mid \text{int} * T \mid (E)$

(int<5>)



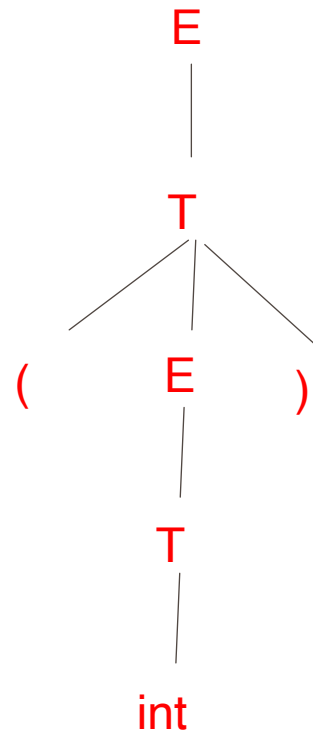
Match! Advance input

Recursive Descent Parsing Example

$E \rightarrow T \mid T + E$

$T \rightarrow \text{int} \mid \text{int} * T \mid (E)$

(int<5>)
↑



Match! Advance input

$E \rightarrow E' \mid E' + E$

$E' \rightarrow -E' \mid id \mid (E)$

Input: id + id

A Recursive Descent Parser. Preliminaries

- **TOKEN: type of tokens**
 - Special tokens INT, OPEN, CLOSE, PLUS, TIMES

- The global next point to the next token

A Top Down Parsing Algorithm

```
void A() {
```

```
    Choose an A-production:  $A \rightarrow S_1 S_2 \dots S_k$ ;
```

```
    for (i=1 or k) {
```

```
        if ( $S_i$  is a nonterminal)
```

```
            Call  $S_i()$ ;
```

```
        else if ( $X_i ==$  current input TOKEN tok). /*terminal*/
```

```
            next++;
```

```
    }
```

```
}
```

Recursion without
backtracking

A (Limited) Recursive Descent Parser

- Define boolean functions that check the token string for a match of

- A given token terminal

```
bool term (TOKEN tok) { return *next++ == tok; }
```

- The n^{th} production of S:

```
bool Sn() { ... }
```

- Try all productions of S:

```
bool S() { ... }
```

A (Limited) Recursive Descent Parser

- For production $E \rightarrow T$

```
bool E1() { return T(); }
```

- For production $E \rightarrow T + E$

```
bool E2() { return T() && term(PLUS) && E(); }
```

- For all productions of E (with backtracking)

```
bool E() {  
    TOKEN *save = next;  
    return (next = save, E1( )) || (next = save, E2( ));  
}
```

Grammar:

$E \rightarrow T \mid T + E$

$T \rightarrow \text{int} \mid \text{int} * T \mid (E)$

A (Limited) Recursive Descent Parser (4)

- Functions for non-terminal T

```
bool T1() { return term(INT); }
```

```
bool T2() { return term(INT) && term(TIMES) && T(); }
```

```
bool T3() { return term(OPEN) && E() && term(CLOSE); }
```

```
bool T() {
```

```
    TOKEN *save = next;
```

```
    return (next = save, T1()) || (next = save, T2()) || (next = save, T3());
```

```
}
```

Grammar:

$E \rightarrow T \mid T + E$

$T \rightarrow \text{int} \mid \text{int} * T \mid (E)$

Recursive Descent Parsing

- To start the parser
 - Initialize next to point to first token
 - Invoke $E()$ (start symbol)

Example

Grammar:

$E \rightarrow T \mid T + E$

$T \rightarrow \text{int} \mid \text{int} * T \mid (E)$

Input: (int)

Code:

```
bool term(TOKEN tok) { return *next++ == tok; }
```

```
bool E1() { return T(); }
```

```
bool E2() { return T() && term(PLUS) && E(); }
```

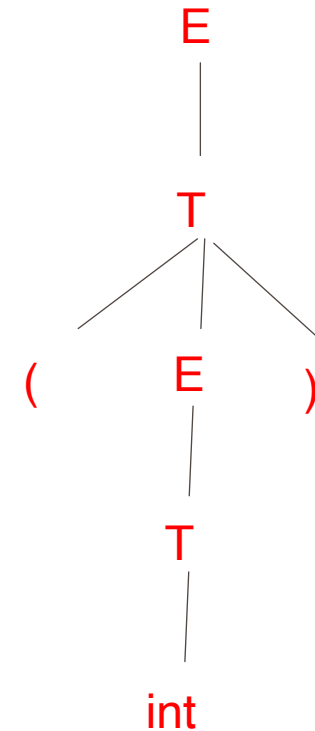
```
bool E() { TOKEN *save = next;  
          return (next = save, E1()) || (next = save, E2()); }
```

```
bool T1() { return term(INT); }
```

```
bool T2() { return term(INT) && term(TIMES) && T(); }
```

```
bool T3() { return term(OPEN) && E() && term(CLOSE); }
```

```
bool T() { TOKEN *save = next;  
          return (next = save, T1())  
                || (next = save, T2())  
                || (next = save, T3()); }
```



Example

Grammar:

$E \rightarrow T \mid T + E$

$T \rightarrow \text{int} \mid \text{int} * T \mid (E)$

Input: `int`

Code:

```
bool term(TOKEN tok) { return *next++ == tok; }
```

```
bool E1() { return T(); }
```

```
bool E2() { return T() && term(PLUS) && E(); }
```

```
bool E() { TOKEN *save = next;  
         return (next = save, E1()) || (next = save, E2()); }
```

```
bool T1() { return term(INT); }
```

```
bool T2() { return term(INT) && term(TIMES) && T(); }
```

```
bool T3() { return term(OPEN) && E() && term(CLOSE); }
```

```
bool T() { TOKEN *save = next;  
         return (next = save, T1())  
             || (next = save, T2())  
             || (next = save, T3()); }
```

When Recursive Descent Does Not Work

cxGrammar:

$E \rightarrow T \mid T + E$

$T \rightarrow \text{int} \mid \text{int} * T \mid (E)$

Input: $\text{int} * \text{int}$

Code:

```
bool term(TOKEN tok) { return *next++ == tok; }

bool E1() { return T(); }
bool E2() { return T() && term(PLUS) && E(); }
bool E() {TOKEN *save = next;
         return (next = save, E1()) || (next = save, E2()); }

bool T1() { return term(INT); }
bool T2() { return term(INT) && term(TIMES) && T(); }
bool T3() { return term(OPEN) && E() && term(CLOSE); }
bool T() { TOKEN *save = next;
         return (next = save, T1())
            || (next = save, T2())
            || (next = save, T3()); }
```

Recursive Descent Parsing: Limitation

- If production for terminal X **succeeds**
 - Cannot backtrack to try different production for X later
- General recursive descent algorithms support such full backtracking
 - Can implement any grammar
- Presented RDA is not general
 - But easy to implement
- Sufficient for grammars where for any non-terminal at most one production can succeed
- The grammar can be rewritten to work with the presented algorithm
 - By left factoring

Left Factoring

$$A \rightarrow \alpha\beta_1 \mid \alpha\beta_2$$

- The input begins with a nonempty string derived from α , we do not know whether to expand A to $\alpha\beta_1$ or $\alpha\beta_2$.
- We can defer the decision by expanding A to $\alpha A'$.
- Then, after seeing the input derived from α , we expand A' to β_1 or β_2 (left-factored)
- The original productions become:

$$A \rightarrow \alpha A', A' \rightarrow \beta_1 \mid \beta_2$$

Left Factoring

- Recall the grammar

$E \rightarrow T + E \mid T$

$T \rightarrow \text{int} \mid \text{int} * T \mid (E)$

- Hard to predict because
 - For T two productions start with int
 - For E it is not clear how to predict
- We need to left-factor the grammar

Left-Factoring Example

- Grammar

$E \rightarrow T + E \mid T$

$T \rightarrow \text{int} \mid \text{int} * T \mid (E)$

- Factor out common prefixes of productions

$E \rightarrow T X$

$X \rightarrow + E \mid \varepsilon$

$T \rightarrow (E) \mid \text{int} Y$

$Y \rightarrow * T \mid \varepsilon$

When Recursive Descent Does Not Work

- Consider a production $S \rightarrow S a$
`bool S1() { return S() && term(a); }`
`bool S() { return S1(); }`
- S() goes into an infinite loop
- A **left-recursive grammar** has a non-terminal S
 $S \rightarrow^+ Sa$ for some a
- Recursive descent does not work for left recursive grammar

Elimination of Left Recursion

- Consider the left-recursive grammar

$$S \rightarrow S \alpha \mid \beta$$

- S generates all strings starting with a β and followed by a number of α
- Can rewrite using right-recursion

$$S \rightarrow \beta S'$$

$$S' \rightarrow \alpha S' \mid \varepsilon$$

More Elimination of Left-Recursion

- In general

$$S \rightarrow S \alpha_1 \mid \dots \mid S \alpha_n \mid \beta_1 \mid \dots \mid \beta_m$$

- All strings derived from S start with one of β_1, \dots, β_m and continue with several instances of $\alpha_1, \dots, \alpha_n$

- Rewrite as

$$S \rightarrow \beta_1 S' \mid \dots \mid \beta_m S'$$

$$S' \rightarrow \alpha_1 S' \mid \dots \mid \alpha_n S' \mid \varepsilon$$

General Left Recursion

- The grammar

$$S \rightarrow A \alpha \mid \delta$$

$$A \rightarrow S \beta$$

is also left-recursive because

$$S \rightarrow^+ S \beta \alpha$$

- This left-recursive can also be eliminated

Example

- $S \rightarrow Aa \mid b$
- $A \rightarrow Ac \mid Sd \mid \epsilon$
- Remove Recursion.

-
- $S \rightarrow Aa \mid b.$
 - $A \rightarrow bdA' \mid A'$
 - $A' \rightarrow cA' \mid adA' \mid a \mid \epsilon$

Eliminating Left Recursion

- 1. Arrange the non-terminals in some order A_1, A_2, \dots, A_n .
- 2. *for (each i from 1 to n)* {
- 3. *for (each j from 1 to $i - 1$)* {
- 4. Replace each production of the form $A_i \rightarrow A_j\gamma$ with the productions $A_i \rightarrow \delta_1\gamma \mid \delta_2\gamma \mid \dots \mid \delta_k\gamma$, where $A_j \rightarrow \delta_1 \mid \delta_2 \mid \dots \mid \delta_k$ are all current A_j productions.
- }
- 5. Eliminate the immediate left recursion among the A_i productions
- 6. }

Summary of Recursive Descent

- Simple and general parsing strategy
 - Left-recursion must be eliminated first
 - ... but that can be done automatically
- Unpopular because of backtracking
 - Thought to be too inefficient
- In practice, backtracking is eliminated by restricting the grammar

Predictive Parsers

- Like recursive-descent but parser can “predict” which production to use
 - By looking at the next few tokens
 - **No backtracking**
- Predictive parsers accept LL(k) grammars
 - L means “left-to-right” scan of input
 - L means “leftmost derivation”
 - k means “predict based on k tokens of lookahead”
 - In practice, LL(1) is used

LL(1) vs. Recursive Descent

- In recursive-descent
 - At each step, many choices of production to use
 - Backtracking used to undo bad choices
- In LL(1)
 - At each step, only one choice of production
 - That is
 - When a non-terminal A is leftmost in a derivation
 - The next input symbol is t
 - There is a unique production $A \rightarrow \alpha$ to use
 - Or no production to use (an error state)
- LL(1) is a recursive descent variant without backtracking

Predictive Parsing and Left Factoring

- Recall the grammar

$E \rightarrow T + E \mid T$

$T \rightarrow \text{int} \mid \text{int} * T \mid (E)$

- Hard to predict because
 - For T two productions start with int
 - For E it is not clear how to predict
- We need to left-factor the grammar

Left-Factoring Example

- Grammar

$E \rightarrow T + E \mid T$

$T \rightarrow \text{int} \mid \text{int} * T \mid (E)$

- Factor out common prefixes of productions

$E \rightarrow T X$

$X \rightarrow + E \mid \varepsilon$

$T \rightarrow (E) \mid \text{int} Y$

$Y \rightarrow * T \mid \varepsilon$

LL(1) Parsing Table Example

- Left-factored grammar

$$E \rightarrow T X$$

$$X \rightarrow + E \mid \varepsilon$$

$$T \rightarrow (E) \mid \text{int } Y$$

$$Y \rightarrow * T \mid \varepsilon$$

- The LL(1) parsing table:

Left-most non- terminals		next input tokens						
		int	*	+	()	\$	
	E	TX				TX		
	X				+E		ε	ε
	T	int Y				(E)		
	Y			*T	ε		ε	ε

LL(1) Parsing Table Example (Cont.)

- Consider the $[E, \text{int}]$ entry
 - “When current non-terminal is E and next input is int , use production $E \rightarrow T X$ ”
 - This can generate an int in the first position
- Consider the $[Y, +]$ entry
 - “When current non-terminal is Y and current token is $+$, get rid of Y ”
 - Y can be followed by $+$ only if $Y \rightarrow \epsilon$

LL(1) Parsing Tables. Errors

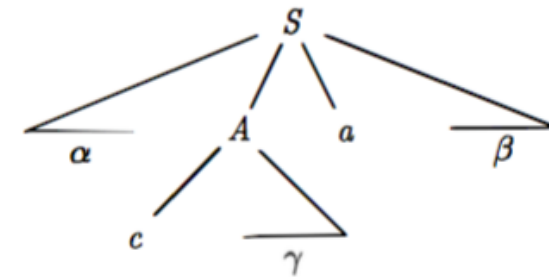
- Blank entries indicate error situations
- Consider the $[E, *]$ entry
 - “There is no way to derive a string starting with $*$ from non-terminal E ”

Using Parsing Tables

- Method similar to recursive descent, **except**
 - For the leftmost non-terminal **S**
 - We look at the next input token **a**
 - And choose the production shown at **[S,a]**
- Reject on reaching error state
- Accept on end of input & empty stack

First & Follow

- During top down parsing, FIRST and FOLLOW allow us to choose which production to apply, based on the next input symbol.
- $\text{FIRST}(\alpha)$, α is any string of grammar symbols
 - A set of terminals that begin strings derived from α .
 - If $\alpha \xrightarrow{*} \epsilon$, then ϵ is in $\text{FIRST}(\alpha)$.
 - if $\alpha \xrightarrow{*} cY$, the c is in $\text{FIRST}(\alpha)$.
- $\text{FOLLOW}(A)$, A is a nonterminal
 - the set of terminals that can appear immediately to the right of A .
 - A set of terminals “ a ” such that $S \xrightarrow{*} \alpha A a \beta$ for some α and β .



Constructing Parsing Tables: The Intuition

- Consider non-terminal A , production $A \rightarrow \alpha$, & token t
- $T[A,t] = \alpha$ in two cases:
- If $\alpha \rightarrow^* t \beta$
 - α can derive a t in the first position
 - We say that $t \in \text{First}(\alpha)$
- If $A \rightarrow \alpha$ and $\alpha \rightarrow^* \varepsilon$ and $S \rightarrow^* \beta A t \delta$
 - Useful if the current non-terminal is A , input is t , and A cannot derive t
 - In this case only option is to get rid of A (by deriving ε)
 - We say $t \in \text{Follow}(A)$

Computing First Sets

■ Definition

$\text{First}(X) = \{ t \mid X \rightarrow^* t\alpha \} \cup \{ \varepsilon \mid X \rightarrow^* \varepsilon \}$, X can be single terminal, single non-terminal, or string including both

■ Algorithm sketch:

1. $\text{First}(t) = \{ t \}$, t is terminal
2. $\varepsilon \in \text{First}(X)$
 - if $X \rightarrow \varepsilon$
 - if $X \rightarrow A_1 \dots A_n$ and $\varepsilon \in \text{First}(A_i)$ for $1 \leq i \leq n$
3. $\text{First}(\alpha) \subseteq \text{First}(X)$ if $X \rightarrow A_1 \dots A_n \alpha$
 - $\varepsilon \in \text{First}(A_i)$ for $1 \leq i \leq n$

First Sets. Example

- grammar

$E \rightarrow T X$

$X \rightarrow + E \mid \varepsilon$

$T \rightarrow (E) \mid \text{int } Y$

$Y \rightarrow * T \mid \varepsilon$

- First sets

$\text{First}(()) = \{ (\}$

$\text{First}()) = \{) \}$

$\text{First}(\text{int}) = \{ \text{int} \}$

$\text{First}(+) = \{ + \}$

$\text{First}(*) = \{ * \}$

$\text{First}(E) \supseteq \text{First}(T) = \{ \text{int}, (\}$

$\text{First}(X) = \{ +, \varepsilon \}$

$\text{First}(Y) = \{ *, \varepsilon \}$

Computing Follow Sets

- Definition:

$$\text{Follow}(X) = \{ t \mid S \rightarrow^* \beta X t \delta \}$$

- Intuition:

- If $X \rightarrow A B$ then $\text{First}(B) \subseteq \text{Follow}(A)$ and $\text{Follow}(X) \subseteq \text{Follow}(B)$
- If $B \rightarrow^* \varepsilon$ then $\text{Follow}(X) \subseteq \text{Follow}(A)$
- If S is the start symbol then $\$ \in \text{Follow}(S)$

Computing Follow Sets (Cont.)

Algorithm sketch:

1. $\$ \in \text{Follow}(S)$
2. $\text{First}(\beta) - \{\varepsilon\} \subseteq \text{Follow}(X)$
 - For each production $A \rightarrow \alpha X \beta$
3. $\text{Follow}(A) \subseteq \text{Follow}(X)$
 - For each production $A \rightarrow \alpha X \beta$ where $\varepsilon \in \text{First}(\beta)$

Follow Sets. Example

- Recall the grammar

$E \rightarrow T X$

$X \rightarrow + E \mid \varepsilon$

$T \rightarrow (E) \mid \text{int } Y$

$Y \rightarrow * T \mid \varepsilon$

- Follow sets

$\text{Follow}(+) = \{ \text{int}, (\}$

$\text{Follow}(() = \{ \text{int}, (\}$

$\text{Follow}(E) = \{), \$ \}$

$\text{Follow}(*) = \{ \text{int}, (\}$

$\text{Follow}(T) = \{ +,) , \$ \}$

$\text{Follow}()) = \{ +,) , \$ \}$

$\text{Follow}(Y) = \{ +,) , \$ \}$

$\text{Follow}(\text{int}) = \{ *, +,) , \$ \}$.

$\text{Follow}(X) = \{ \$,) \}$

Constructing LL(1) Parsing Tables

- Construct a parsing table T for CFG G
- For each production $A \rightarrow \alpha$ in G do:
 - For each terminal $t \in \text{First}(\alpha)$ do
 - $T[A, t] = \alpha$
 - If $\epsilon \in \text{First}(\alpha)$, for each $t \in \text{Follow}(A)$ do
 - $T[A, t] = \alpha$
 - If $\epsilon \in \text{First}(\alpha)$ and $\$ \in \text{Follow}(A)$ do
 - $T[A, \$] = \alpha$

LL(1) Parsing Table Example

- Left-factored grammar

$E \rightarrow T X$

$X \rightarrow + E \mid \epsilon$

$T \rightarrow (E) \mid \text{int } Y$

$Y \rightarrow * T \mid \epsilon$

- The LL(1) parsing table:

Rules:

For each production $A \rightarrow \alpha$ in G do:

For each terminal $t \in \text{First}(\alpha)$ do

$T[A, t] = \alpha$

If $\epsilon \in \text{First}(\alpha)$, for each $t \in \text{Follow}(A)$ do

$T[A, t] = \alpha$

If $\epsilon \in \text{First}(\alpha)$ and $\$ \in \text{Follow}(A)$ do

$T[A, \$] = \alpha$

Left-most non- terminals	next input tokens						
		int	*	+	()	\$
E	TX				TX		
X				+E		ϵ	ϵ
T	int Y				(E)		
Y			*T	ϵ		ϵ	ϵ

Notes on LL(1) Parsing Tables

- If any entry is multiply defined then G is not LL(1) [Eg: $S \rightarrow Sa|b$]
 - If G is ambiguous
 - If G is left recursive
 - If G is not left-factored
 - other: e.g., LL(2)
- Most programming language CFGs are not LL(1)
 - too weak
 - However they build on these basic ideas

Bottom-Up Parsing

- Bottom-up parsing is more general than (deterministic) top-down parsing
 - just as efficient
 - Builds on ideas in top-down parsing
- Bottom-up parsers don't need left-factored grammars
- Revert to the “natural” grammar for our example:
$$E \rightarrow T + E \mid T$$
$$T \rightarrow \text{int} * T \mid \text{int} \mid (E) \cdot$$
- Consider the string: $\text{int} * \text{int} + \text{int}$

Bottom-Up Parsing

- Revert to the “natural” grammar for our example:

$E \rightarrow T + E \mid T$

$T \rightarrow \text{int} * T \mid \text{int} \mid (E) \cdot$

- Consider the string: $\text{int} * \text{int} + \text{int}$

- Bottom-up parsing **reduces** a string to the start symbol by **inverting** productions:

$\text{int} * \text{int} + \text{int}$

$T \rightarrow \text{int}$

$\text{int} * T + \text{int}$

$T \rightarrow \text{int} * T$

$T + \text{int}$

$T \rightarrow \text{int}$

$T + T$

$E \rightarrow T$

$T + E$

$E \rightarrow T + E$

E

Observation

- Read the productions in reverse (from bottom to top)
- This is a rightmost derivation!

int * int + int

T → int

int * T + int

T → int * T

T + int

T → int

T + T

E → T

T + E

E → T + E

E

Bottom-Up Parsing

- A bottom-up parser traces a **rightmost derivation in reverse**

int * int + int
int * T + int
T + int
T + T
T + E
E

T → int
T → int * T
T → int
E → T
E → T + E

